

Electronic Subscription System

CDS Invalid Application Summary Report
Union Bank Limited

Type	Applicants	Applied Amount	Fine Amount	Refund Amount
A D Holdings & Securities Limited(DSE-213)				
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	1	10,000	1,500	8,500
A. A. SECURITIES LIMITED(CSE-032)				
DUPLICATE BANK ACCOUNT				
RB	39	390,000	58,500	331,500
Total	39	390,000	58,500	331,500
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000
Sub Total	41	410,000	61,500	348,500
A. L. Securities Ltd.(DSE-222)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
Total	1	10,000	0	10,000
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	2	20,000	1,500	18,500
AB & Co. Ltd.(DSE-043)				
DUPLICATE BANK ACCOUNT				
RB	6	60,000	9,000	51,000
Total	6	60,000	9,000	51,000
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000
Sub Total	8	80,000	12,000	68,000
AB Secuities Limited(DSE-201)				
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000
Sub Total	2	20,000	3,000	17,000
ACE CAPITAL MANAGEMENT SERVICE LTD.(DSE-016)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
Total	1	10,000	0	10,000

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
ACE CAPITAL MANAGEMENT SERVICE LTD.(DSE-016)				
DUPLICATE BANK ACCOUNT				
RB	4	40,000	6,000	34,000
Total	4	40,000	6,000	34,000
Sub Total	5	50,000	6,000	44,000
ADAMS SECURITIES LTD(CSE-016)				
DUPLICATE BANK ACCOUNT				
RB	25	250,000	37,500	212,500
Total	25	250,000	37,500	212,500
Sub Total	25	250,000	37,500	212,500
AIBL CAPITAL MARKET SERVICES LTD.(DSE-234)				
DUPLICATE BANK ACCOUNT				
RB	5	50,000	7,500	42,500
Total	5	50,000	7,500	42,500
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	6	60,000	9,000	51,000
ALI Securities Co. Ltd.(DSE-105)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
Total	1	10,000	0	10,000
Sub Total	1	10,000	0	10,000
ALPHA CAPITAL MANAGEMENT LTD(MB-005)				
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	1	10,000	1,500	8,500
ALPHA SECURITIES LIMITED(CSE-001)				
DUPLICATE BANK ACCOUNT				
RB	4	40,000	6,000	34,000
Total	4	40,000	6,000	34,000
Sub Total	4	40,000	6,000	34,000
ANWAR SECURITIES LIMITED(DSE-160)				
DUPLICATE BANK ACCOUNT				
RB	8	80,000	12,000	68,000
Total	8	80,000	12,000	68,000
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
ANWAR SECURITIES LIMITED(DSE-160)				
Sub Total	9	90,000	13,500	76,500
AZAM SECURITIES LIMITED(DSE-019)				
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
Total	3	30,000	4,500	25,500
Sub Total	3	30,000	4,500	25,500
Ahmed Securities Services Limited(CSE-070)				
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	1	10,000	1,500	8,500
Akij Capital Management Limited(DSE-231)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	3	30,000	0	30,000
Total	3	30,000	0	30,000
DUPLICATE BANK ACCOUNT				
RB	39	390,000	58,500	331,500
Total	39	390,000	58,500	331,500
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	43	430,000	60,000	370,000
Al-Haja Jahanara Securities Ltd.(DSE-138)				
DUPLICATE BANK ACCOUNT				
RB	4	40,000	6,000	34,000
Total	4	40,000	6,000	34,000
Sub Total	4	40,000	6,000	34,000
Al-Muntaha Trading Co.Ltd.(DSE-049)				
DUPLICATE BANK ACCOUNT				
NRB	17	170,000	25,500	144,500
RB	6	60,000	9,000	51,000
Total	23	230,000	34,500	195,500
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000
Sub Total	25	250,000	37,500	212,500
Aloco Securities Ltd.(DSE-139)				
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
Aloco Securities Ltd.(DSE-139)				
DUPLICATE BANK ACCOUNT				
Total	3	30,000	4,500	25,500
Sub Total	3	30,000	4,500	25,500
Anchor Securities Ltd(DSE-177)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	7	70,000	0	70,000
Total	7	70,000	0	70,000
DUPLICATE BANK ACCOUNT				
RB	22	220,000	33,000	187,000
Total	22	220,000	33,000	187,000
DUPLICATE NAME				
RB	3	30,000	4,500	25,500
Total	3	30,000	4,500	25,500
RB BOID IN NRB FILE				
NRB	10	100,000	0	100,000
Total	10	100,000	0	100,000
Sub Total	42	420,000	37,500	382,500
Apex Investments Limited(DSE-007)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	11	110,000	0	110,000
Total	11	110,000	0	110,000
DUPLICATE BANK ACCOUNT				
RB	12	120,000	18,000	102,000
Total	12	120,000	18,000	102,000
Sub Total	23	230,000	18,000	212,000
Arena Securities Ltd.(DSE-025)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	13	130,000	0	130,000
Total	13	130,000	0	130,000
DUPLICATE BANK ACCOUNT				
RB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000
Sub Total	15	150,000	3,000	147,000
Aries Securities Limited(DSE-048)				
DUPLICATE BANK ACCOUNT				
NRB	3	30,000	4,500	25,500
RB	3	30,000	4,500	25,500
Total	6	60,000	9,000	51,000

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
Aries Securities Limited(DSE-048)				
DUPLICATE NAME				
NRB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000
Sub Total	8	80,000	12,000	68,000
Asenz Securities Limited(DSE-065)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	3	30,000	0	30,000
Total	3	30,000	0	30,000
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
Total	3	30,000	4,500	25,500
Sub Total	6	60,000	4,500	55,500
Asif Ahmad & Company Limited(DSE-084)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
Total	1	10,000	0	10,000
Sub Total	1	10,000	0	10,000
Associated Capital Securities Ltd(CSE-063)				
DUPLICATE BANK ACCOUNT				
RB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000
Sub Total	2	20,000	3,000	17,000
Azim Securities Ltd.(CSE-023)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	10	100,000	0	100,000
Total	10	100,000	0	100,000
Sub Total	10	100,000	0	100,000
BD Finance Securities Limited(DSE-030)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	6	60,000	0	60,000
Total	6	60,000	0	60,000
Sub Total	6	60,000	0	60,000
BD SUNLIFE SECURITIES LTD.(DSE-248)				
DUPLICATE BANK ACCOUNT				
RB	4	40,000	6,000	34,000
Total	4	40,000	6,000	34,000
Sub Total	4	40,000	6,000	34,000

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
BDBL Securities Ltd.(DSE-020)				
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
Total	3	30,000	4,500	25,500
Sub Total	3	30,000	4,500	25,500
BE RICH LIMITED(CSE-027)				
DUPLICATE BANK ACCOUNT				
RB	12	120,000	18,000	102,000
Total	12	120,000	18,000	102,000
DUPLICATE NAME				
RB	10	100,000	15,000	85,000
Total	10	100,000	15,000	85,000
Sub Total	22	220,000	33,000	187,000
BRAC EPL Investments Limited(MB-009)				
DUPLICATE BANK ACCOUNT				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	2	20,000	3,000	17,000
BRAC EPL Stock Brokerage Limited(DSE-011)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	10	100,000	0	100,000
Total	10	100,000	0	100,000
DUPLICATE BANK ACCOUNT				
RB	4	40,000	6,000	34,000
Total	4	40,000	6,000	34,000
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	15	150,000	7,500	142,500
BRB Securities Ltd.(DSE-220)				
DUPLICATE BANK ACCOUNT				
RB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000
Sub Total	4	40,000	6,000	34,000

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
Bank Asia Securities Ltd.(DSE-237)				
DUPLICATE BANK ACCOUNT				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	2	20,000	3,000	17,000
Bluechip Securities Limited(DSE-047)				
DUPLICATE BANK ACCOUNT				
NRB	3	30,000	4,500	25,500
Total	3	30,000	4,500	25,500
Sub Total	3	30,000	4,500	25,500
CMSL Securities Limited(DSE-113)				
DUPLICATE BANK ACCOUNT				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	1	10,000	1,500	8,500
CORDIAL SECURITIES LTD(CSE-113)				
DUPLICATE BANK ACCOUNT				
RB	7	70,000	10,500	59,500
Total	7	70,000	10,500	59,500
Sub Total	7	70,000	10,500	59,500
Chittagong Capital Limited(CSE-006)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
Total	1	10,000	0	10,000
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000
Sub Total	3	30,000	3,000	27,000
City Bank Capital Resources Ltd.(MB-010)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
Total	1	10,000	0	10,000
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
Total	3	30,000	4,500	25,500
Sub Total	4	40,000	4,500	35,500

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
City Brokerage Ltd.(DSE-145)				
DUPLICATE BANK ACCOUNT				
RB	4	40,000	6,000	34,000
Total	4	40,000	6,000	34,000
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000
Sub Total	6	60,000	9,000	51,000
Commerce Bank Securities and Investment Limited(DSE-180)				
DUPLICATE BANK ACCOUNT				
RB	4	40,000	6,000	34,000
Total	4	40,000	6,000	34,000
Sub Total	4	40,000	6,000	34,000
Cosmopolitan Equities Limited(DSE-146)				
DUPLICATE BANK ACCOUNT				
RB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000
Sub Total	2	20,000	3,000	17,000
DLIC Securities Ltd.(DSE-243)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	3	30,000	0	30,000
Total	3	30,000	0	30,000
Sub Total	3	30,000	0	30,000
DMR Securities Services Ltd.(DSE-014)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	4	40,000	0	40,000
Total	4	40,000	0	40,000
Sub Total	4	40,000	0	40,000
DRAGON SECURITIES LIMITED(DSE-119)				
DUPLICATE BANK ACCOUNT				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000
Sub Total	3	30,000	4,500	25,500
DYNAMIC SECURITIES CONSULTANTS LTD.(DSE-126)				
DUPLICATE BANK ACCOUNT				
RB	8	80,000	12,000	68,000
Total	8	80,000	12,000	68,000

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
DYNAMIC SECURITIES CONSULTANTS LTD.(DSE-126)				
Sub Total	8	80,000	12,000	68,000
Delta Capital Limited(DSE-185)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	30	300,000	0	300,000
Total	30	300,000	0	300,000
Sub Total	30	300,000	0	300,000
Dhanmondi Securities Limied(DSE-098)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
NRB	37	370,000	0	370,000
RB	6	60,000	0	60,000
Total	43	430,000	0	430,000
DUPLICATE BANK ACCOUNT				
RB	47	470,000	70,500	399,500
Total	47	470,000	70,500	399,500
DUPLICATE NAME				
RB	3	30,000	4,500	25,500
Total	3	30,000	4,500	25,500
Sub Total	93	930,000	75,000	855,000
E-securities Limited(DSE-066)				
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
Total	3	30,000	4,500	25,500
Sub Total	3	30,000	4,500	25,500
EASTERN SHARES & SECURITIES LTD(CSE-058)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	3	30,000	0	30,000
Total	3	30,000	0	30,000
DUPLICATE BANK ACCOUNT				
RB	8	80,000	12,000	68,000
Total	8	80,000	12,000	68,000
Sub Total	11	110,000	12,000	98,000
EBL Investments Limited(MB-011)				
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
Total	3	30,000	4,500	25,500
Sub Total	3	30,000	4,500	25,500
EBL Securities Ltd.(DSE-026)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	2	20,000	0	20,000

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EBL Securities Ltd.(DSE-026)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
Total	2	20,000	0	20,000
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
Total	3	30,000	4,500	25,500
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000
Sub Total	7	70,000	7,500	62,500
ERSHAD SECURITIES LIMITED(DSE-023)				
DUPLICATE BANK ACCOUNT				
RB	4	40,000	6,000	34,000
Total	4	40,000	6,000	34,000
Sub Total	4	40,000	6,000	34,000
Elegant Stock & Securities Ltd.(DSE-051)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	4	40,000	0	40,000
Total	4	40,000	0	40,000
Sub Total	4	40,000	0	40,000
Ettihad Securities Ltd(DSE-110)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
Total	1	10,000	0	10,000
DUPLICATE BANK ACCOUNT				
RB	7	70,000	10,500	59,500
Total	7	70,000	10,500	59,500
Sub Total	8	80,000	10,500	69,500
FAREAST ISLAMI SECURITIES LTD.(DSE-170)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	4	40,000	0	40,000
Total	4	40,000	0	40,000
Sub Total	4	40,000	0	40,000
Federal Securities & Investment Limited.(DSE-045)				
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
Total	3	30,000	4,500	25,500
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000

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Federal Securities & Investment Limited.(DSE-045)				
Sub Total	5	50,000	7,500	42,500
First Capital Securities Limited(DSE-070)				
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
Total	3	30,000	4,500	25,500
Sub Total	3	30,000	4,500	25,500
Fortune Securities (Pvt.) Ltd.(DSE-147)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
Total	1	10,000	0	10,000
Sub Total	1	10,000	0	10,000
GLOBAL SECURITIES LIMITED(DSE-060)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
Total	1	10,000	0	10,000
Sub Total	1	10,000	0	10,000
GREENLAND EQUITIES LTD.(DSE-018)				
DUPLICATE BANK ACCOUNT				
RB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000
Sub Total	2	20,000	3,000	17,000
Galaxy Capital Ltd.(CSE-085)				
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	1	10,000	1,500	8,500
Globe Securities Ltd.(DSE-189)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	2	20,000	0	20,000
Total	2	20,000	0	20,000
DUPLICATE BANK ACCOUNT				
RB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000
DUPLICATE NAME				
RB	4	40,000	6,000	34,000
Total	4	40,000	6,000	34,000
Sub Total	8	80,000	9,000	71,000

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
Green Delta Securities Limited(DSE-059)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	35	350,000	0	350,000
Total	35	350,000	0	350,000
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
Total	3	30,000	4,500	25,500
Sub Total	38	380,000	4,500	375,500
HABIBUR RAHMAN SECURITIES LTD.(DSE-187)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
Total	1	10,000	0	10,000
Sub Total	1	10,000	0	10,000
HAC Securities Ltd.(DSE-074)				
DUPLICATE BANK ACCOUNT				
RB	7	70,000	10,500	59,500
Total	7	70,000	10,500	59,500
DUPLICATE NAME				
RB	3	30,000	4,500	25,500
Total	3	30,000	4,500	25,500
Sub Total	10	100,000	15,000	85,000
HAJI MOHAMMAD ALI SECURITIES LTD.(DSE-165)				
DUPLICATE BANK ACCOUNT				
RB	4	40,000	6,000	34,000
Total	4	40,000	6,000	34,000
Sub Total	4	40,000	6,000	34,000
HALLMARK SECURITIES LIMITED(CSE-117)				
DUPLICATE BANK ACCOUNT				
RB	4	40,000	6,000	34,000
Total	4	40,000	6,000	34,000
Sub Total	4	40,000	6,000	34,000
HARUN SECURITIES LTD.(DSE-005)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
Total	1	10,000	0	10,000
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
Total	3	30,000	4,500	25,500
Sub Total	4	40,000	4,500	35,500

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HEDAYETULLAH SECURITIES LTD.(DSE-140)				
DUPLICATE BANK ACCOUNT				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	1	10,000	1,500	8,500
HEFAZATUR RAHMAN & CO. LTD.(CSE-046)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
Total	1	10,000	0	10,000
DUPLICATE BANK ACCOUNT				
RB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000
Sub Total	3	30,000	3,000	27,000
Hasan Securities Limited(DSE-057)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	12	120,000	0	120,000
Total	12	120,000	0	120,000
Sub Total	12	120,000	0	120,000
Hazrat Amanat Shah Securities Ltd.(DSE-173)				
DUPLICATE BANK ACCOUNT				
RB	15	150,000	22,500	127,500
Total	15	150,000	22,500	127,500
Sub Total	15	150,000	22,500	127,500
Hillcity Securities Ltd(CSE-037)				
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
Total	3	30,000	4,500	25,500
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	4	40,000	6,000	34,000
IBRAHIM SECURITIES LTD.(DSE-033)				
DUPLICATE BANK ACCOUNT				
RB	5	50,000	7,500	42,500
Total	5	50,000	7,500	42,500
Sub Total	5	50,000	7,500	42,500
ICB CAPITAL MANAGEMENT LIMITED(MB-019)				
DUPLICATE BANK ACCOUNT				
RB	17	170,000	25,500	144,500
Total	17	170,000	25,500	144,500

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Union Bank Limited

Type	Applicants	Applied Amount	Fine Amount	Refund Amount
ICB CAPITAL MANAGEMENT LIMITED(MB-019)				
Sub Total	17	170,000	25,500	144,500
ICB Securities Trading Company Limited(DSE-129)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	2	20,000	0	20,000
Total	2	20,000	0	20,000
DUPLICATE BANK ACCOUNT				
RB	7	70,000	10,500	59,500
Total	7	70,000	10,500	59,500
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	10	100,000	12,000	88,000
IDLC Investments Limited(MB-020)				
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	1	10,000	1,500	8,500
IDLC Securities Limited(DSE-058)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	4	40,000	0	40,000
Total	4	40,000	0	40,000
DUPLICATE BANK ACCOUNT				
RB	8	80,000	12,000	68,000
Total	8	80,000	12,000	68,000
DUPLICATE NAME				
RB	3	30,000	4,500	25,500
Total	3	30,000	4,500	25,500
Sub Total	15	150,000	16,500	133,500
IFIC SECURITIES LTD(DSE-192)				
DUPLICATE BANK ACCOUNT				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	1	10,000	1,500	8,500
IIDFC Securities Limited(DSE-238)				
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
Total	3	30,000	4,500	25,500
Sub Total	3	30,000	4,500	25,500

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
INTERNATIONAL LEASING SECURITIES LIMITED(DSE-009)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
Total	1	10,000	0	10,000
DUPLICATE BANK ACCOUNT				
RB	9	90,000	13,500	76,500
Total	9	90,000	13,500	76,500
Sub Total	10	100,000	13,500	86,500
INVESTMENT PROMOTION SERVICES LTD.(DSE-158)				
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000
Sub Total	2	20,000	3,000	17,000
ISLAND SECURITIES LIMITED(DSE-106)				
DUPLICATE BANK ACCOUNT				
RB	37	370,000	55,500	314,500
Total	37	370,000	55,500	314,500
DUPLICATE NAME				
RB	5	50,000	7,500	42,500
Total	5	50,000	7,500	42,500
Sub Total	42	420,000	63,000	357,000
Imtiyaz Husain Securities Ltd.(DSE-050)				
DUPLICATE BANK ACCOUNT				
RB	4	40,000	6,000	34,000
Total	4	40,000	6,000	34,000
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	5	50,000	7,500	42,500
JAHAN SECURITIES LTD.(DSE-195)				
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
Total	3	30,000	4,500	25,500
Sub Total	3	30,000	4,500	25,500
JKC SECURITIES LTD.(DSE-179)				
DUPLICATE BANK ACCOUNT				
NRB	6	60,000	9,000	51,000
RB	5	50,000	7,500	42,500
Total	11	110,000	16,500	93,500

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
JKC SECURITIES LTD.(DSE-179)				
DUPLICATE NAME				
NRB	3	30,000	4,500	25,500
RB	2	20,000	3,000	17,000
Total	5	50,000	7,500	42,500
Sub Total	16	160,000	24,000	136,000
JOYTUN SECURITIES INTERNATIONAL LTD.(DSE-148)				
DUPLICATE BANK ACCOUNT				
RB	19	190,000	28,500	161,500
Total	19	190,000	28,500	161,500
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	20	200,000	30,000	170,000
K-Securities & Consultants Ltd.(DSE-122)				
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	1	10,000	1,500	8,500
KAZI EQUITIES LIMITED(DSE-135)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
NRB	10	100,000	0	100,000
RB	51	510,000	0	510,000
Total	61	610,000	0	610,000
DUPLICATE BANK ACCOUNT				
RB	10	100,000	15,000	85,000
Total	10	100,000	15,000	85,000
DUPLICATE NAME				
RB	12	120,000	18,000	102,000
Total	12	120,000	18,000	102,000
Sub Total	83	830,000	33,000	797,000
KHB Securities Limited(DSE-143)				
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
Total	3	30,000	4,500	25,500
Sub Total	3	30,000	4,500	25,500
Kabir Securities Limited(CSE-056)				
DUPLICATE BANK ACCOUNT				
RB	18	180,000	27,000	153,000
Total	18	180,000	27,000	153,000

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
Kabir Securities Limited(CSE-056)				
DUPLICATE NAME				
RB	4	40,000	6,000	34,000
Total	4	40,000	6,000	34,000
Sub Total	22	220,000	33,000	187,000
Kazi Firoz Rashid Securities Ltd.(DSE-029)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
Total	1	10,000	0	10,000
Sub Total	1	10,000	0	10,000
LankaBangla Investments Limited(MB-026)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	2	20,000	0	20,000
Total	2	20,000	0	20,000
Sub Total	2	20,000	0	20,000
LankaBangla Securities Limited(DSE-132)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	28	280,000	0	280,000
Total	28	280,000	0	280,000
DUPLICATE BANK ACCOUNT				
RB	31	310,000	46,500	263,500
Total	31	310,000	46,500	263,500
DUPLICATE NAME				
RB	10	100,000	15,000	85,000
Total	10	100,000	15,000	85,000
Sub Total	69	690,000	61,500	628,500
Lotus Shares & Securities Limited(CSE-112)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	9	90,000	0	90,000
Total	9	90,000	0	90,000
DUPLICATE BANK ACCOUNT				
RB	4	40,000	6,000	34,000
Total	4	40,000	6,000	34,000
Sub Total	13	130,000	6,000	124,000
M & Z Securities Ltd(DSE-196)				
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	1	10,000	1,500	8,500

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
M. Zubair Securities Ltd.(DSE-052)				
DUPLICATE BANK ACCOUNT				
RB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000
Sub Total	2	20,000	3,000	17,000
MAH Securities Limited(DSE-013)				
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	1	10,000	1,500	8,500
MAM Securities Limited(DSE-086)				
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	1	10,000	1,500	8,500
MEENHAR SECURITIES LIMITED(CSE-003)				
DUPLICATE BANK ACCOUNT				
RB	24	240,000	36,000	204,000
Total	24	240,000	36,000	204,000
Sub Total	24	240,000	36,000	204,000
MIDWAY SECURITIES LIMITED(DSE-142)				
DUPLICATE BANK ACCOUNT				
RB	16	160,000	24,000	136,000
Total	16	160,000	24,000	136,000
Sub Total	16	160,000	24,000	136,000
MIKA SECURITIES LIMITED(DSE-215)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	17	170,000	0	170,000
Total	17	170,000	0	170,000
DUPLICATE BANK ACCOUNT				
RB	5	50,000	7,500	42,500
Total	5	50,000	7,500	42,500
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000
Sub Total	24	240,000	10,500	229,500
MOSHIHOR SECURITIES LTD(DSE-134)				
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
Total	3	30,000	4,500	25,500

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
MOSHIHOR SECURITIES LTD(DSE-134)				
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	4	40,000	6,000	34,000
MTB SECURITIES LIMITED(DSE-197)				
DUPLICATE BANK ACCOUNT				
RB	15	150,000	22,500	127,500
Total	15	150,000	22,500	127,500
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	16	160,000	24,000	136,000
Md. Fakhru Islam Securities Ltd.(DSE-090)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	2	20,000	0	20,000
Total	2	20,000	0	20,000
DUPLICATE BANK ACCOUNT				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	3	30,000	1,500	28,500
Meghna Bank Securities Limited(DSE-124)				
DUPLICATE BANK ACCOUNT				
RB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000
Sub Total	2	20,000	3,000	17,000
Mercantile Bank Securities Limited(DSE-224)				
DUPLICATE BANK ACCOUNT				
RB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000
Sub Total	4	40,000	6,000	34,000
Mirpur Securities Ltd.(CSE-050)				
DUPLICATE BANK ACCOUNT				
RB	8	80,000	12,000	68,000
Total	8	80,000	12,000	68,000
Sub Total	8	80,000	12,000	68,000

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
Modern Securities Ltd(DSE-229)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	59	590,000	0	590,000
Total	59	590,000	0	590,000
DUPLICATE BANK ACCOUNT				
RB	15	150,000	22,500	127,500
Total	15	150,000	22,500	127,500
Sub Total	74	740,000	22,500	717,500
Mona Financial Consultancy & Securities Limited(DSE-164)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	2	20,000	0	20,000
Total	2	20,000	0	20,000
DUPLICATE BANK ACCOUNT				
RB	8	80,000	12,000	68,000
Total	8	80,000	12,000	68,000
Sub Total	10	100,000	12,000	88,000
Mondol Securities Ltd.(DSE-209)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
Total	1	10,000	0	10,000
Sub Total	1	10,000	0	10,000
Multi Securities & Services Limited.(DSE-075)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
Total	1	10,000	0	10,000
DUPLICATE BANK ACCOUNT				
RB	25	250,000	37,500	212,500
Total	25	250,000	37,500	212,500
Sub Total	26	260,000	37,500	222,500
NABIUL KARIM SECURITIES LTD.(DSE-115)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
Total	1	10,000	0	10,000
Sub Total	1	10,000	0	10,000
NBL Securities Limited(DSE-203)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	2	20,000	0	20,000
Total	2	20,000	0	20,000
DUPLICATE BANK ACCOUNT				
RB	1	10,000	1,500	8,500

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
NBL Securities Limited(DSE-203)				
DUPLICATE BANK ACCOUNT				
Total	1	10,000	1,500	8,500
Sub Total	3	30,000	1,500	28,500
NIZAM'S SHARES & SECURITIES LIMITED(CSE-029)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
Total	1	10,000	0	10,000
Sub Total	1	10,000	0	10,000
NLI SECURITIES LIMITED(DSE-244)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	8	80,000	0	80,000
Total	8	80,000	0	80,000
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	9	90,000	1,500	88,500
NRBC Bank Securities Limited(DSE-082)				
DUPLICATE BANK ACCOUNT				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	1	10,000	1,500	8,500
ONE Securities Limited(DSE-241)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	11	110,000	0	110,000
Total	11	110,000	0	110,000
Sub Total	11	110,000	0	110,000
PHP STOCKS & SECURITIES LIMITED(CSE-031)				
DUPLICATE BANK ACCOUNT				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	1	10,000	1,500	8,500
PIONEER SHARES & SECURITIES LIMITED(CSE-034)				
BOID CLOSED				
RB	1	10,000	0	10,000
Total	1	10,000	0	10,000
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	4	40,000	0	40,000
Total	4	40,000	0	40,000
Sub Total	5	50,000	0	50,000

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
PREMIER BANK SECURITIES LIMITED(DSE-236)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	12	120,000	0	120,000
Total	12	120,000	0	120,000
DUPLICATE BANK ACCOUNT				
RB	13	130,000	19,500	110,500
Total	13	130,000	19,500	110,500
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	26	260,000	21,000	239,000
PRILINK SECURITIES LTD(DSE-202)				
DUPLICATE BANK ACCOUNT				
RB	4	40,000	6,000	34,000
Total	4	40,000	6,000	34,000
Sub Total	4	40,000	6,000	34,000
PUBALI BANK SECURITIES LIMITED(DSE-214)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	8	80,000	0	80,000
Total	8	80,000	0	80,000
DUPLICATE BANK ACCOUNT				
RB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000
Sub Total	10	100,000	3,000	97,000
PURABI SECURITIES LIMITED(CSE-087)				
DUPLICATE BANK ACCOUNT				
RB	6	60,000	9,000	51,000
Total	6	60,000	9,000	51,000
Sub Total	6	60,000	9,000	51,000
Parkway Securities Limited(DSE-194)				
DUPLICATE BANK ACCOUNT				
RB	4	40,000	6,000	34,000
Total	4	40,000	6,000	34,000
Sub Total	4	40,000	6,000	34,000
Parom Securities Ltd.(CSE-131)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	3	30,000	0	30,000
Total	3	30,000	0	30,000
DUPLICATE BANK ACCOUNT				
NRB	28	280,000	42,000	238,000

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
Parom Securities Ltd.(CSE-131)				
DUPLICATE BANK ACCOUNT				
RB	10	100,000	15,000	85,000
Total	38	380,000	57,000	323,000
DUPLICATE NAME				
NRB	4	40,000	6,000	34,000
Total	4	40,000	6,000	34,000
RB BOID IN NRB FILE				
NRB	2	20,000	0	20,000
Total	2	20,000	0	20,000
Sub Total	47	470,000	63,000	407,000
Peoples Equities Ltd.(DSE-176)				
DUPLICATE BANK ACCOUNT				
RB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000
Sub Total	2	20,000	3,000	17,000
Premier Leasing Securities Limited(CSE-136)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	2	20,000	0	20,000
Total	2	20,000	0	20,000
Sub Total	2	20,000	0	20,000
Prime Bank Securities Limited(DSE-219)				
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	1	10,000	1,500	8,500
Prudential Capital Ltd(CSE-062)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
Total	1	10,000	0	10,000
DUPLICATE BANK ACCOUNT				
NRB	3	30,000	4,500	25,500
RB	2	20,000	3,000	17,000
Total	5	50,000	7,500	42,500
DUPLICATE NAME				
NRB	2	20,000	3,000	17,000
RB	1	10,000	1,500	8,500
Total	3	30,000	4,500	25,500
RB BOID IN NRB FILE				
NRB	10	100,000	0	100,000

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
Prudential Capital Ltd(CSE-062)				
RB BOID IN NRB FILE				
Total	10	100,000	0	100,000
Sub Total	19	190,000	12,000	178,000
QUAYUM SECURITIES LTD.(DSE-038)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	2	20,000	0	20,000
Total	2	20,000	0	20,000
DUPLICATE NAME				
RB	4	40,000	6,000	34,000
Total	4	40,000	6,000	34,000
Sub Total	6	60,000	6,000	54,000
R N TRADING LTD(DSE-078)				
DUPLICATE BANK ACCOUNT				
RB	4	40,000	6,000	34,000
Total	4	40,000	6,000	34,000
Sub Total	4	40,000	6,000	34,000
RAK Capital Limited(CSE-028)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
Total	1	10,000	0	10,000
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000
Sub Total	3	30,000	3,000	27,000
RAPID SECURITIES LIMITED(DSE-042)				
DUPLICATE BANK ACCOUNT				
RB	80	800,000	120,000	680,000
Total	80	800,000	120,000	680,000
Sub Total	80	800,000	120,000	680,000
RASTI SECURITIES CONSULTANT LTD.(DSE-217)				
DUPLICATE BANK ACCOUNT				
RB	6	60,000	9,000	51,000
Total	6	60,000	9,000	51,000
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000
Sub Total	8	80,000	12,000	68,000

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
RAZZAK SECURITIES LTD.(DSE-184)				
DUPLICATE BANK ACCOUNT				
RB	73	730,000	109,500	620,500
Total	73	730,000	109,500	620,500
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	74	740,000	111,000	629,000
ROSE SECURITIES LTD(DSE-125)				
DUPLICATE BANK ACCOUNT				
RB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000
Sub Total	2	20,000	3,000	17,000
ROYAL CAPITAL LTD.(DSE-021)				
BOID CLOSED				
RB	1	10,000	0	10,000
Total	1	10,000	0	10,000
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	42	420,000	0	420,000
Total	42	420,000	0	420,000
DUPLICATE BANK ACCOUNT				
RB	23	230,000	34,500	195,500
Total	23	230,000	34,500	195,500
Sub Total	66	660,000	34,500	625,500
ROYAL GREEN SECURITIES LTD(DSE-077)				
DUPLICATE BANK ACCOUNT				
RB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000
Sub Total	2	20,000	3,000	17,000
Reliance Brokerage Services Ltd.(DSE-062)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
Total	1	10,000	0	10,000
DUPLICATE BANK ACCOUNT				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	2	20,000	1,500	18,500
Rupali Bank Securities Limited(DSE-246)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	7	70,000	0	70,000

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
Rupali Bank Securities Limited(DSE-246)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
Total	7	70,000	0	70,000
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
Total	3	30,000	4,500	25,500
Sub Total	10	100,000	4,500	95,500
SAHCO SECURITIES LTD.(DSE-046)				
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
Total	3	30,000	4,500	25,500
Sub Total	3	30,000	4,500	25,500
SALTA CAPITAL LIMITED(CSE-022)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	4	40,000	0	40,000
Total	4	40,000	0	40,000
DUPLICATE BANK ACCOUNT				
RB	11	110,000	16,500	93,500
Total	11	110,000	16,500	93,500
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	16	160,000	18,000	142,000
SALTA CAPITAL LIMITED(DSE-095)				
DUPLICATE BANK ACCOUNT				
RB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000
Sub Total	2	20,000	3,000	17,000
SAR SECURITIES LTD.(DSE-027)				
DUPLICATE BANK ACCOUNT				
RB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	3	30,000	4,500	25,500
SBL CAPITAL MANAGEMENT LIMITED(MB-032)				
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
Total	3	30,000	4,500	25,500

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
SBL CAPITAL MANAGEMENT LIMITED(MB-032)				
Sub Total	3	30,000	4,500	25,500
SCL Securities Limited(DSE-121)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	10	100,000	0	100,000
Total	10	100,000	0	100,000
Sub Total	10	100,000	0	100,000
SHAHJALAL EQUITY MANAGEMENT LIMITED(MB-094)				
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
Total	3	30,000	4,500	25,500
Sub Total	3	30,000	4,500	25,500
SHANTA SECURITIES LIMITED(DSE-039)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
Total	1	10,000	0	10,000
Sub Total	1	10,000	0	10,000
SHOHRAB SECURITIES & TRADE LTD.(DSE-225)				
DUPLICATE BANK ACCOUNT				
RB	16	160,000	24,000	136,000
Total	16	160,000	24,000	136,000
Sub Total	16	160,000	24,000	136,000
SHYAMOL EQUITY MANAGEMENT LTD.(DSE-003)				
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	1	10,000	1,500	8,500
SINHA SECURITIES LTD(DSE-067)				
DUPLICATE BANK ACCOUNT				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	1	10,000	1,500	8,500
SOHEL SECURITIES LIMITED(CSE-076)				
DUPLICATE BANK ACCOUNT				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	1	10,000	1,500	8,500

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
SR CAPITAL LTD(CSE-038)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
Total	1	10,000	0	10,000
DUPLICATE BANK ACCOUNT				
RB	16	160,000	24,000	136,000
Total	16	160,000	24,000	136,000
DUPLICATE NAME				
RB	4	40,000	6,000	34,000
Total	4	40,000	6,000	34,000
Sub Total	21	210,000	30,000	180,000
STANDARD BANK SECURITIES LIMITED(DSE-156)				
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	1	10,000	1,500	8,500
Sadeque Finance Management Ltd(DSE-028)				
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
Total	3	30,000	4,500	25,500
Sub Total	3	30,000	4,500	25,500
Salam & Company Ltd.(DSE-190)				
DUPLICATE BANK ACCOUNT				
RB	10	100,000	15,000	85,000
Total	10	100,000	15,000	85,000
Sub Total	10	100,000	15,000	85,000
Saya Securities Ltd.(CSE-010)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	8	80,000	0	80,000
Total	8	80,000	0	80,000
Sub Total	8	80,000	0	80,000
Shahjalal Islami Bank Securities Limited(DSE-233)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
Total	1	10,000	0	10,000
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
Total	3	30,000	4,500	25,500
DUPLICATE NAME				
RB	1	10,000	1,500	8,500

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
Shahjalal Islami Bank Securities Limited(DSE-233)				
DUPLICATE NAME				
Total	1	10,000	1,500	8,500
Sub Total	5	50,000	6,000	44,000
Shakil Rizvi Stock Ltd.(DSE-149)				
DUPLICATE BANK ACCOUNT				
RB	8	80,000	12,000	68,000
Total	8	80,000	12,000	68,000
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	9	90,000	13,500	76,500
Sharp Securities Limited(DSE-216)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
Total	1	10,000	0	10,000
DUPLICATE BANK ACCOUNT				
RB	6	60,000	9,000	51,000
Total	6	60,000	9,000	51,000
Sub Total	7	70,000	9,000	61,000
Sheltech Brokerage Limited(DSE-120)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
NRB	1	10,000	0	10,000
RB	1	10,000	0	10,000
Total	2	20,000	0	20,000
DUPLICATE BANK ACCOUNT				
RB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	5	50,000	4,500	45,500
South Asia Securities Ltd(CSE-004)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	4	40,000	0	40,000
Total	4	40,000	0	40,000
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
Total	3	30,000	4,500	25,500

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
South Asia Securities Ltd(CSE-004)				
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	8	80,000	6,000	74,000
Square Securities Management Ltd(DSE-076)				
BOID CLOSED				
RB	6	60,000	0	60,000
Total	6	60,000	0	60,000
DUPLICATE BANK ACCOUNT				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
DUPLICATE NAME				
RB	3	30,000	4,500	25,500
Total	3	30,000	4,500	25,500
RB BOID IN NRB FILE				
NRB	2	20,000	0	20,000
Total	2	20,000	0	20,000
Sub Total	12	120,000	6,000	114,000
Sterling Stocks & Securities Ltd.(DSE-249)				
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000
Sub Total	2	20,000	3,000	17,000
T. A. Khan Securities Co. Ltd.(DSE-174)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
Total	1	10,000	0	10,000
Sub Total	1	10,000	0	10,000
T.K. Shares & Securities Ltd.(CSE-069)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
Total	1	10,000	0	10,000
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
Total	3	30,000	4,500	25,500
Sub Total	4	40,000	4,500	35,500
TIMES SECURITIES LIMITED(DSE-166)				
DUPLICATE BANK ACCOUNT				
RB	4	40,000	6,000	34,000

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
TIMES SECURITIES LIMITED(DSE-166)				
DUPLICATE BANK ACCOUNT				
Total	4	40,000	6,000	34,000
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	5	50,000	7,500	42,500
TOTAL COMMUNICATION LTD.(DSE-200)				
DUPLICATE BANK ACCOUNT				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	1	10,000	1,500	8,500
Transcon Securities Ltd.(DSE-055)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
Total	1	10,000	0	10,000
Sub Total	1	10,000	0	10,000
Trust Bank Securities Limited(DSE-242)				
DUPLICATE BANK ACCOUNT				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	1	10,000	1,500	8,500
UCB STOCK BROKERAGE LIMITED(DSE-181)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	17	170,000	0	170,000
Total	17	170,000	0	170,000
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	18	180,000	1,500	178,500
UNIROYAL SECURITIES LIMITED(DSE-089)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	2	20,000	0	20,000
Total	2	20,000	0	20,000
Sub Total	2	20,000	0	20,000
UNITED FINANCIAL TRADING COMPANY LIMITED(DSE-227)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	2	20,000	0	20,000
Total	2	20,000	0	20,000

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
UNITED FINANCIAL TRADING COMPANY LIMITED(DSE-227)				
DUPLICATE BANK ACCOUNT				
RB	10	100,000	15,000	85,000
Total	10	100,000	15,000	85,000
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000
Sub Total	14	140,000	18,000	122,000
UniCap Investments Limited(MB-037)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	3	30,000	0	30,000
Total	3	30,000	0	30,000
Sub Total	3	30,000	0	30,000
Unicap Securities Limited(DSE-163)				
DUPLICATE BANK ACCOUNT				
RB	4	40,000	6,000	34,000
Total	4	40,000	6,000	34,000
Sub Total	4	40,000	6,000	34,000
United Securities Limited(DSE-207)				
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	1	10,000	1,500	8,500
Vertex Stock & Securities Limited(DSE-092)				
DUPLICATE BANK ACCOUNT				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	1	10,000	1,500	8,500
Vision Capital Management Ltd.(DSE-024)				
DUPLICATE BANK ACCOUNT				
RB	49	490,000	73,500	416,500
Total	49	490,000	73,500	416,500
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
Sub Total	50	500,000	75,000	425,000
WIFANG SECURITIES LIMITED(DSE-210)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	3	30,000	0	30,000
Total	3	30,000	0	30,000

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
WIFANG SECURITIES LIMITED(DSE-210)				
DUPLICATE BANK ACCOUNT				
NRB	2	20,000	3,000	17,000
RB	9	90,000	13,500	76,500
Total	11	110,000	16,500	93,500
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000
Sub Total	16	160,000	19,500	140,500
Western Securities Investment Management Ltd.(CSE-120)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
NRB	17	170,000	0	170,000
RB	25	250,000	0	250,000
Total	42	420,000	0	420,000
DUPLICATE BANK ACCOUNT				
NRB	3	30,000	4,500	25,500
RB	3	30,000	4,500	25,500
Total	6	60,000	9,000	51,000
DUPLICATE NAME				
NRB	9	90,000	13,500	76,500
Total	9	90,000	13,500	76,500
RB BOID IN NRB FILE				
NRB	1	10,000	0	10,000
Total	1	10,000	0	10,000
Sub Total	58	580,000	22,500	557,500
holy city securities ltd.(CSE-093)				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
Total	1	10,000	0	10,000
DUPLICATE BANK ACCOUNT				
RB	8	80,000	12,000	68,000
Total	8	80,000	12,000	68,000
Sub Total	9	90,000	12,000	78,000
Grand Total	1,992	19,920,000	2,011,500	17,908,500